

FACTS**WHAT DOES FBB CAPITAL PARTNERS DO WITH YOUR PERSONAL INFORMATION?****WHY?**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

WHAT?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security
- Date of Birth
- Employment Information
- Account Balances
- Transaction Detail History
- Other Financial-Related Information

When you are no longer our client, we continue to share your information as described in this notice.

HOW?

All financial companies need to share a client's personal information to run their everyday business. In the section below, we list the reasons financial companies can share a client's personal information; the reasons FBB Capital Partners ("FBB") chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does FBB Share?	Can you limit this sharing?
For our everyday business purposes — such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus. Please see "Other important information" on page 2.	YES	NO
For our marketing purposes — to offer our products and services to you	YES	NO
For joint marketing with other financial companies	NO	We do not share
For our affiliates' everyday business purposes — information about your transactions and experiences	YES	NO
For our affiliates' everyday business purposes — information about your creditworthiness	NO	We do not share
For our affiliates to market to you	NO	We do not share
For nonaffiliates to market to you	NO	We do not share

QUESTIONS?

Call (301) 657-8870 or go to www.fbbcapitalpartners.com

Who we are	
Who is providing this notice?	FBB Capital Partners
What we do	
How does FBB Capital Partners protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does FBB Capital Partners collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> ▪ engage us for advisory services and complete our forms ▪ execute transactions or make changes to your account ▪ provide us with documents such as bank statements or tax returns <p>We may also collect your personal information from your custodian, accountants, legal counsel, employers or other advisors upon your request.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> ▪ sharing for affiliates' everyday business purposes— information about your creditworthiness ▪ affiliates from using your information to market to you ▪ sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>
Definitions	
Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ▪ <i>FBB does not have any affiliates.</i>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ▪ <i>FBB does not share with nonaffiliated companies so they can market to you.</i>
Joint marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> ▪ <i>FBB does not jointly market with nonaffiliated companies.</i>
Other important information	
<p>We may share your information with our third-party service providers in order to help administrate our business, such as brokerage and custodial firms, legal counsel, accountants, consultants, and technology companies. Companies we hire for services of this kind are not allowed to use your personal information for their own purposes and are contractually obligated to maintain strict confidentiality.</p>	